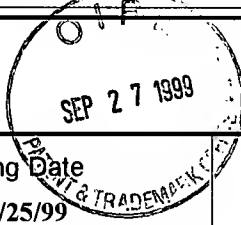


6w/3744

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. **#2**  
CRYO/US-24

In Re Application Of: **Hong Li**



Serial No.  
09/344,423

Filing Date  
06/25/99

Examiner  
Unknown

Group Art Unit  
3744

Title: **PRECOOLED CRYOGENIC ABLATION SYSTEM**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED  
SEP 29 1999  
TECHNOLOGY CENTER 3700

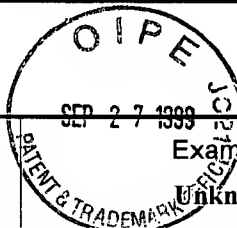
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**CRYO/US-24**

In Re Application Of: **Hong Li**

Serial No.  
**09/344,423**

Filing Date  
**06/25/99**



Examiner  
**Unknown**

Group Art Unit  
**3744**

Title: **PRECOOLED CRYOGENIC ABLATION SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

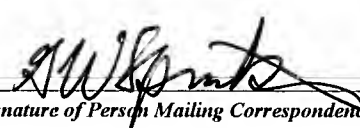
(Date)

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Typed or Printed Name of Person Signing Certificate*

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **September 24, 1999** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
*Signature of Person Mailing Correspondence*

**Gerald W. Spinks**

\_\_\_\_\_  
*Typed or Printed Name of Person Mailing Correspondence*

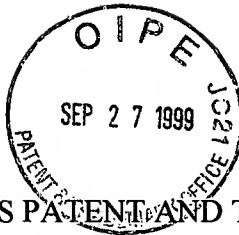
**\*This certificate may only be used if paying by deposit account.**

  
*Signature*

Dated: **September 24, 1999**

**Gerald W. Spinks**  
Reg. No. 32,843  
Cust. No. 22875  
P. O. Box 10158  
College Station, TX 77842-0158  
(409)690-8008

CC:



#2

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hong Li )  
Serial No.: 09/344,423 ) Art Unit  
Filed: 06/25/99 ) 3744  
For: Precooled Cryogenic Ablation System )  
Examiner: Unknown )  
Attorney Docket: CRYO/US-24 )

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

RECEIVED  
SEP 29 1999  
TECHNOLOGY CENTER 3700

The following items are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2.     Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
3. X Identification of Information Items Accompanying This Statement.
4.     Concise Explanation of Non-English Language Listed Information Items.
5.     Translation(s) of Non-English Language Documents.
6.     Certification Under 37 C.F.R. §1.97.(e).
7.     Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i).
8. X Identification of Person(s) Making This Information Disclosure Statement.
9. X FORM PTO - 1449

**1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

**3. Identification of Information Items Accompanying This Statement.**

Legible copies of all references listed on the attached Form 1449 accompany this Information Disclosure Statement.

**8. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT**


The person making this statement is

(a) \_\_\_ the inventor who signs below

\_\_\_\_\_  
Name of Inventor


(b) X the attorney who signs below on the basis of:

- X the information supplied by the inventor(s)  
\_\_\_ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
\_\_\_ the information in the attorney's file

  
Gerald W. Spinks  
Attorney for Applicant  
Registration No. 32,843  
Customer No. 22875  
P.O. Box 10158  
College Station, TX  
77842-0158  
(409)690-8008

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8**

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. C. 20231, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 24<sup>th</sup> day of September, 1999.

  
Gerald W. Spinks  
Attorney for Applicant  
Registration No. 32,843

24M.ids